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1744

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the back of this certificate.

Date: 2/28/2007 Name: Stephen C. Smith (56,250) Signature: [Signature]

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Christopher Thomas Elsworthy et al.

Appln. No.: 10/537,481

Filed: November 14, 2005

For: SUCTION CLEANERS

Attorney Docket No: 12867-5

Examiner: Theresa T. Snider

Art Unit: 1744

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement, Form PTO 1449 w/2 Cited Foreign References attached (B4 - B5)
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

			Small Entity		Not a Small Entity	
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or Rate
Total	Minus			x \$25=		x \$50=
Indep.	Minus			x 100=		x \$200=
First Presentation of Multiple Dep. Claim				+\$180=		+\$360=
Total				\$	Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Stephen C. Smith (Reg. No. 56,250)

Date

2/28/2007

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Christopher Thomas Elsworth et al.

Appln. No.: 10/537,481

Examiner: THERESA T. SNIDER

Filed: November 14, 2005

Art Unit: 1744

For: SUCTION CLEANERS

Attorney Docket No: 12867-5

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
5,078,761	01/1992	Dyson
6,058,559	05/2000	Yoshimi et al.
6,662,403	12/2003	Oh

No.	Date	Country
GB 2 128 075 A	04/1984	United Kingdom
GB 2 367 510 A	04/2002	United Kingdom

OTHER ART – NON PATENT LITERATURE DOCUMENTS

Pending patent application U.S. Serial No. 11/187,414, filed July 22, 2005, 23 pages.
Pending patent application U.S. Serial No. 11/217,584, filed August 31, 2005, 17 pages.
Pending patent application U.S. Serial No. 29/240,051, filed October 7, 2005, 6 pages.
Pending patent application U.S. Serial No. 29/240,115, filed October 7, 2005, 6 pages.
Pending patent application U.S. Serial No. 29/240,116, filed October 7, 2005, 5 pages.
Pending patent application U.S. Serial No. 29/240,117, filed October 7, 2005, 7 pages.
Pending patent application U.S. Serial No. 29/240,118, filed October 7, 2005, 7 pages.
Pending patent application U.S. Serial No. 10/537,537, filed November 10, 2005, 21 pages.
Pending patent application U.S. Serial No. 10/537,381, filed November 14, 2005, 25 pages.
Pending patent application U.S. Serial No. 10/537,382, filed November 14, 2005, 33 pages.
Pending patent application U.S. Serial No. 11/273,094, filed November 14, 2005, 17 pages.
Pending patent application U.S. Serial No. 11/329,194, filed January 10, 2006, 23 pages.
Pending patent application U.S. Serial No. 11/375,722, filed March 15, 2006, 16 pages.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

The Examiner's attention is also directed to the unpublished copending U.S. patent applications (a copy of which is not being furnished herewith, pursuant to the Commissioner's Notice dated September 21, 2004).

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

2/28/2007
Date


Stephen C. Smith (Reg. No. 56,250)



FORM PTO-1449	SERIAL NO. 10/537,481	CASE NO. 12867-5
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE November 14, 2005	GROUP ART UNIT 1744
(use several sheets if necessary)	APPLICANT(S): Christopher Thomas Elsworth et al.	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/B.M./	B1	5,078,761	01/1992	Dyson		
/B.M./	B2	6,058,559	05/2000	Yoshimi et al.		
/B.M./	B3	6,662,403	12/2003	Oh		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
/B.M./	B4	GB 2 128 075 A	04/1984	United Kingdom		
/B.M./	B5	GB 2 367 510 A	04/2002	United Kingdom		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author; title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>					
/B.M./	B6	Pending patent application U.S. Serial No. 11/187,414, filed July 22, 2005, 23 pages.				
	B7	Pending patent application U.S. Serial No. 11/217,584, filed August 31, 2005, 17 pages.				
	B8	Pending patent application U.S. Serial No. 29/240,051, filed October 7, 2005, 6 pages.				
	B9	Pending patent application U.S. Serial No. 29/240,115, filed October 7, 2005, 6 pages.				
	B10	Pending patent application U.S. Serial No. 29/240,116, filed October 7, 2005, 5 pages.				
	B11	Pending patent application U.S. Serial No. 29/240,117, filed October 7, 2005, 7 pages.				
	B12	Pending patent application U.S. Serial No. 29/240,118, filed October 7, 2005, 7 pages.				
	B13	Pending patent application U.S. Serial No. 10/537,537, filed November 10, 2005, 21 pages.				
	B14	Pending patent application U.S. Serial No. 10/537,381, filed November 14, 2005, 25 pages.				
	B15	Pending patent application U.S. Serial No. 10/537,382, filed November 14, 2005, 33 pages.				
	B16	Pending patent application U.S. Serial No. 11/273,094, filed November 14, 2005, 17 pages.				
	B17	Pending patent application U.S. Serial No. 11/329,194, filed January 10, 2006, 23 pages.				
/B.M./	B18	Pending patent application U.S. Serial No. 11/375,722, filed March 15, 2006, 16 pages.				

EXAMINER /Bryan Muller/	DATE CONSIDERED 11/12/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.